

11-23-01

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Attorney Docket No. 65678-0042

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

11U36 U.S. PTO  
09/990911  
11/14/01

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): J. Aaron Bly, David T. Spieldenner, Aaron Roth, Patrick O'Brien, Andrew F. Suhy, Jr.,  
Brent C. Parent

For (title): SYSTEM AND METHOD FOR DISPOSING OF ASSETS

1. Type of Application

This transmittal is for a continuation-in-part (C-I-P) application.

2. Benefit of Prior U.S. Application (35 U.S.C. 119(e), 120, or 121)

The new application being transmitted claims the benefit of prior U.S. applications. Enclosed are  
ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S.  
APPLICATIONS CLAIMED.

CERTIFICATION UNDER 37 C.F.R. 1.10\*

(Express Mail label number is *mandatory*.)

(Express Mail certification is *optional*.)

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date **November 14, 2001**, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number **EV 051007158 US**, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Alisa M. Varela

(type or print name of person mailing paper)

*Alisa M. Varela*

Signature of person mailing paper

**WARNING:** Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

**\*WARNING:** Each paper or fee filed by "Express Mail" *must* have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).  
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will *not* be granted on petition." Notice of Oct 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

3. Papers Enclosed

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

51 Page(s) of Specification  
7 Page(s) of Claims  
13 Sheet(s) of Drawing(s)-Formal

B. Other Papers Enclosed

1 Page(s) of abstract

4. Declaration or Oath

Will follow.

5. Assignment

Will follow.

6. Fee Calculation (37 C.F.R. 1.16)

Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$740.00
Total Claims (37 CFR 1.16(c))	23	- 20 =	3 x	\$18.00	\$54.00
Independent Claims (37 CFR 1.16(b))	3	- 3 =	0 x	\$84.00	\$0.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$280.00	\$0.00

Filing Fee Calculation

\$794.00

**7. Fee Payment Being Made at This Time**

Enclosed

Filing Fee	\$794.00
<b>Total Fees Enclosed</b>	<b>\$794.00</b>

**8. Method of Payment of Fees**

Charge Account No. 18-0013 in the amount of \$794.00. A duplicate of this transmittal is attached.

**9. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 18-0013.

37 C.F.R. 1.16(a), (f) or (g) (filing fees)

37 C.F.R. 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a))

37 C.F.R. 1.17 (application processing fees)

**10. Instructions as to Overpayment**

Credit Account No. 18-0013.

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATION CLAIMED**

**11. Relate Back**

This application claims the benefit of U.S. Application Serial No. 09/441,289 filed November 16, 1999, U.S. Provisional Application Serial No. 60/166,042 filed November 17, 1999, and U.S. Application Serial No. 09/503,671 filed February 14, 2000, and U.S. Application Serial No. 09/714,702 filed November 16, 2000, all applications hereby incorporated by reference in their entirety.

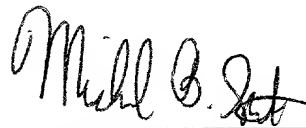
**12. NOTIFICATION IN PARENT APPLICATION OF THIS FILING**

A notification of the filing of this continuation-in-part is being filed in the parent applications, from which this application claims priority under 35 U.S.C. § 120.

Date: November 14, 2001

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**SIGNATURE OF PRACTITIONER**

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